

**OPTICAL COMPONENTS AND METHOD OF MAKING THEM****DESCRIPTION****5 TECHNICAL DOMAIN AND PRIOR ART**

The invention relates to the domain of manufacturing optical components.

In particular, it is applicable to adaptive optics, in which reflecting membranes are mechanically  
10 deformed to correct the phase of a light beam.

It also relates to manufacturing of mechanical actuation means in order to make reflecting membranes or optical components.

An optical surface is deformed using a  
15 matrix of actuators bonded to a reflecting membrane.

Embodiments are known with a single mechanical and optical level, for example a reflecting membrane free to move with respect to a matrix of electrodes. Examples are given in document US-6108121  
20 and in the article by G.Robert et al. « le micro-miroir adaptatif : un micro-composant d'optique adaptative (the adaptive micro-mirror: an adaptive optics micro-component) », Second Ademis Forum, 1997, p. 161-165. This method with one level (both mechanical  
25 and optical) cannot decouple actuation of the optical surface. For example, it is often required to have a very flexible optical surface to correct the beam and a very rigid actuator to be able to operate at frequencies higher than 1 kHz to work in real time. A  
30 frequently difficult compromise has to be made if there is only one optical level.

Embodiments with two levels are also known in which one of these levels is optical, as described for example in the documents by R.Krishnamoorthy et al. « Statistical performance evaluation of electrostatic  
5 micro-actuators for a deformable mirror », SPIE Vol. 2881, p.35-44, and in the article by T.G.Bifano et al. « Continuous-membrane surface-micromachined silicon deformable mirror », Optical Engineering, Vol.36, p. 1354-1360, 1997. The actuator is made in a first  
10 mechanical level and the second mechanical level is used for optical correction. The two levels are connected through mechanical pads that can be made from the same material as the material used for the « optical » mechanical level (for example polysilicon),  
15 by surface technology, for example by MUMPS silicon oxide / polysilicon surface technology. This technology consists of a stack of conforming layers in which the last layer is the optical layer, the flatness of which is degraded by the lower layers and by the fact that  
20 pads are made from the same material.

The pads can also be made from a different material from that used in the « optical » mechanical level, by transfer of a thin membrane onto the actuators, for example as described in documents by  
25 J.A.Hammer et al. « Design and fabrication of a continuous membrane deformable mirror », Proc. Of SPIE, Vol. 4985, p.259-270, due to the use of an SOI substrate and indium pads, or by transfer of a membrane already comprising pads, due to the use of a double SOI  
30 substrate and bonding of these membranes on actuators (as described by C.Divoux et al. « A novel

electrostatic actuator for micro-deformable mirrors: fabrication and test »), or using a membrane bonded to the piezoelectric actuators using an adhesive layer.

In all cases, problems of impressions of  
5 pads are observed on the optical side of the membrane, resulting in poor flatness or roughness.

Furthermore, the surfaces of the pads may sometimes be large, which then reduces the flexibility of the membrane.

10 Therefore, the problem that arises is to find new elements or means, particularly for mechanical activation, in order to make new optical components, particularly of the type mentioned above.

Another problem that arises is making  
15 components of the type mentioned above with small pad impressions and improved flatness and flexibility.

### **PRESENTATION OF THE INVENTION**

More precisely, the invention relates to a method for manufacturing an actuation system or means  
20 or device comprising:

- etching of a first face of a component, for example a semiconducting substrate, or a thin layer formed on the surface of such a semiconducting substrate, to form pads,
- 25 - etching of a second face of the component to make or to expose a membrane made of the same material as the pads,
- production of the actuation means of the pads and membrane.

The fact that one face of the initial component is etched first, followed by the other face, makes it possible to make the pads, and then a flexible or deformable membrane in only a fraction of the initial thickness of the component. The pads and the  
5 membrane form a uniform assembly.

Thus, the membrane and the pads may be thin, for example the total thickness may be less than 30  $\mu\text{m}$ , or it may be between 5  $\mu\text{m}$  and 15  $\mu\text{m}$ .

10 Therefore, the invention can be used to make two-level optical components integral with each other; it is also used to make a very good quality optical level.

The component may be made from a  
15 semiconducting material or glass and it may be provided with a surface layer of semiconducting material or nitride in which the pads and membrane are etched.

It may also be of the SOI type, comprising a surface layer of silicon, an insulating layer and a  
20 substrate, the pads and the membrane being made in the surface layer of silicon.

It may also be a silicon substrate covered by an insulating layer and a layer of polysilicon or a silicon substrate covered by a nitride layer, the pads  
25 and the membrane being made in the insulating or polysilicon or nitride layer respectively.

According to another variant, it may be a silicon substrate doped on two sides, the membrane and the pads being made in portions that are doped  
30 differently from each other.

The actuation means may be of the electrical or magnetic or thermal type.

They may be partly formed directly on the pads, or they may be made on one substrate or another  
5 substrate, which is then assembled with the actuation system.

The invention also relates to a mechanical activation system for an optical component, comprising:

- a membrane provided with pads formed  
10 integrally with the membrane on one of its faces,
- actuation means for the pads and the membrane.

The membrane that is flexible or that can be deformed by the actuation means and the pads may be  
15 made in a component like that mentioned above.

A system according to the invention may have the dimensions mentioned above and may be provided with reflecting means conferring optical properties upon it.

20 Preferably, the height / width ratio of the pads is less than 20.

#### **BRIEF DESCRIPTION OF THE FIGURES**

- Figures 1 to 3 and 12 show a device according to the invention, or details of such a  
25 device,

- Figures 4A to 4E show steps in the production of the device according to the invention.

- Figures 5A to 11E represent variant methods of making a device according to the invention.

## DETAILED DESCRIPTION OF PARTICULAR EMBODIMENTS OF THE INVENTION

A first embodiment of the invention is shown in Figures 1 and 2.

5           On these Figures, actuation means forming a first level 10 are coupled to a second level 12 by connecting pads 14.

          The actuation means are used to move the pads and therefore to move the elements of this second  
10 level 12 connected to the pads.

          For example, these actuation means are mobile electrodes 16 that act in combination with fixed electrodes 17 formed under the first level 10. As a variant, the actuator can also be composed of magnetic  
15 or thermal or piezoelectric means.

          In the case of magnetic actuation means, the mobile part of an actuator may be a magnet 30 or a coil bonded to a pad 14 with a fixed coil 32 or magnet facing it as shown in Figure 3.

20           In the case of thermal or piezoelectric actuation means, the mobile part of an actuator may be a bimetallic strip structure made with a first layer and a second layer, the second layer having a greater coefficient of thermal expansion or greater expansion  
25 than the first layer. The fixed part may then be simply a surface onto which these structures bear.

          In Figure 1, the reference 20 denotes a thin layer, for example a silicon or germanium or indium phosphide (InP) layer, for example again of an  
30 SOI type substrate.

The assembly is supported on a substrate 18, for example made of silicon or glass.

The second mechanical level 12 and the connecting pads 14 are made from the same layer 20 without bonding or transfer. For example, this layer may have been manufactured in advance (by deposition, epitaxy or any other method) on a substrate with excellent flatness, for example flatness of a few  $\mu\text{m}$  over a substrate diameter of 100 mm or 200 mm; for example the roughness is less than 5 nm.

The initial substrate provides good flatness of the reflecting surface, due to this very good flatness. The uniformity of the material between the pads 14 and the level 12 assures that the optical surface is not degraded by differential expansion effects between the two materials. The lack of an interface between the pads 14 and this level 12 also limits degradations due to the manufacturing method.

Finally, as we will see later, the small thickness of the membrane 12 - pads 14 assembly makes it possible to make a high quality component with defects, such as optical impressions, which are limited.

Therefore, the invention relates to a deformable or flexible membrane device provided with actuation means formed homogeneously with the membrane and used to deform this membrane.

A method to make a system according to the invention may include the steps shown diagrammatically in Figures 4A - 4C.

An initial component is a substrate 50 with a surface thin layer 60. The surface layer is thin, for example it may be between 10  $\mu\text{m}$  and 30  $\mu\text{m}$ .

5 The assembly may for example be an SOI substrate. An SOI (Silicon on Insulator) structure typically comprises a silicon layer under which a buried silicon oxide layer is formed that is itself supported on a silicon substrate that acts as mechanical support. For example, such structures are  
10 described in FR-2 681 472.

The substrate 50 may also be a silicon substrate covered by an insulator and a poly-silicon layer; it could also be a silicon substrate covered with nitride or a silicon substrate, or a substrate  
15 made of any other semiconducting material doped on both sides, or a glass substrate covered with nitride.

Pads 54 are etched in the layer 60, through one face of the initial component called the front face 51 (Figure 4B).  
20

Etching on the back face 53 then exposes a membrane 52 (Figure 4C) in the layer 60. These two steps may also be done in the reverse order.

The result is thus a set of pads 54 - membranes 52 made of a single material, the pads and  
25 the membrane being formed integrally and therefore with no assembly between them.

The layer in which the pads and the membrane 52 are formed has a thickness equal to E, for example between 5 $\mu\text{m}$  and 20 $\mu\text{m}$  or 30  $\mu\text{m}$ . The thickness e of the  
30 membrane 52 alone is about 1  $\mu\text{m}$  to 5  $\mu\text{m}$ , so that it is very flexible and is free to deform.

Successive tests are used to determine an etching time in order to stop at the right depth. In



the case of RIE etching or wet etching, a uniformity +/- 5% can be obtained with current technologies.

A reflecting deposit can then be made on the external surface 55 of the membrane 52.

5           Activation means, or a first part of these means, and in this case, a layer 56 of mobile electrodes, can then be made directly on the substrate thus etched, for example using a sacrificial layer (Figure 4D).

10           The assembly is then assembled with a substrate 58 (Figure 4E) on which fixed electrodes 57 have been made. According to one variant, the mobile electrodes or the layer 56 of mobile electrodes can also be made on the same substrate as the fixed  
15   electrode.

          Figure 5A illustrates the embodiment that has just been described in the case in which the initial component is an SOI component comprising a thin layer 501 of silicon, a thin layer 502 of insulator  
20   (usually silicon dioxide) and a substrate 503 itself made of silicon. This structure enables etching of the pads and the surface 52 in the thin layer of silicon. As already explained above, the first step is to etch the pads 54, followed by etching of the substrate 503  
25   to expose the membrane 52 (Figure 5A).

          The next step is to form a layer 56 of mobile electrodes directly on the layer 501.

          The assembly obtained shown in Figure 5B may be assembled with a substrate like that shown in  
30   Figure 4E.

According to one variant shown in Figure 6, the layer 56 of mobile electrodes is made directly on a substrate 58, for example made of silicon or silicon nitride covered by a metal on which fixed electrodes 57 have already been made, as explained above with reference to Figure 4E. The mobile electrodes are obtained by bonding a thin layer 56 (for example made of silicon or silicon nitride) on a substrate containing cavities; the vents 59 are used to balance pressures during the process and afterwards.

Reference 61 denotes a layer of means forming an electrical insulator, for example an electrical insulating layer, to separate the two levels of electrodes.

The structure in Figure 5A can then be assembled with the structure in Figure 6 to obtain the required component.

A detailed example of another embodiment will be described with reference to Figures 7A to 7J.

An SOI substrate 50 is used firstly to make part of the actuators (mobile electrode) and the optical membrane. This substrate 50 (Figure 7A) comprises a layer of semiconducting material 501 (for example 15  $\mu\text{m}$  thick silicon), an insulating layer 502 (for example 0.5  $\mu\text{m}$  thick silicon dioxide) and a substrate made of a semiconducting material 503 (for example 500  $\mu\text{m}$  thick silicon).

It is oxidised on the surface (insulating layers 60, 61, Figure 7B) to form an etching mask.

The insulating layer 61 located on the back face is etched locally (Figure 7C) to perform the

subsequent etching step of the substrate 503 that will be used to define or expose the membrane.

The thin layer 501 is partially etched (for example over 10  $\mu\text{m}$  by RIE etching) on the front face (Figure 7D) to make connecting pads 54 between the activation means, for example mobile electrodes, and the optical membrane.

An oxide deposit 66 followed by planarisation of the oxide (for example by chemical mechanical polishing CMP) is used to obtain a plane surface for the remainder of the process (Figure 7E).

A poly-silicon layer 68 (about 1 to 2  $\mu\text{m}$  thick) is deposited (Figure 7F) and etched on the front face to make the actuators (Figure 7G).

The sacrificial layer is then eliminated by HF etching of the oxide (Figure 7H).

The substrate 503 is then etched on the back face (Figure 7I) to expose the membrane 52 and the layer 502.

For a membrane 52 with a diameter of more than 10 mm and a thickness of less than 5  $\mu\text{m}$ , it is preferable to oxidise the actuators made on the front face before the step in Figure 7I, with the same oxide thickness as for layer 502, so as to balance mechanical stresses on this membrane during etching of the back face.

The oxide is then removed from the front face (Figure 7J) and the back face.

Dry etching of membranes on the back face is used to make the optical membranes; thus, the buried oxide 502 of the SOI may for example be etched in HF

(Figure 7J). A metallic deposit on the back face 505 of the membrane obtained by etching is used to form a reflecting surface.

As shown in Figure 8, electrodes 57 made of metal and tracks 70 and addressing pads (not shown in Figure 8) are made on another substrate 58 (for example silicon) provided with an insulating layer 59 (for example 1  $\mu\text{m}$  of thermal oxide). An insulating material is then deposited everywhere and is then etched at the addressing pads. Stops 72 are made to support the optical membrane and the actuators.

The 2 substrates or elements thus formed are then assembled to form a component. The assembly may be held mechanically by means of glue dabs around the periphery. The assembly may be made component against component or substrate against substrate: in other words, several components located on the same substrate can be assembled in a single operation, or each component can be cut out in advance and components can be assembled one by one.

The result is then a device identical to that shown in Figure 1.

If the semiconducting material of the layer 501 used to form the membrane 52 is monocrystalline silicon, the result is very good mechanical behaviour of this membrane, and also low roughness (peak-to-peak amplitude less than 100 nm) and very good flatness. Impression effects are limited.

Electrical connections 74, 75 can then be made laterally as shown in Figure 9, which shows a top view of the device.

These fixed electrodes can then be connected to power supply means.

The example that has just been described relates to an embodiment made from an SOI substrate.

5 According to another embodiment shown in Figure 10A, an initial substrate 150 is made of silicon or AsGa.

It is doped so as to comprise two lateral zones 151, 153 doped using a first type of doping, surrounding an intermediate zone or layer 152 doped using a second type of doping.

The two layers or zones 153 and 152 have a total thickness of about 10  $\mu\text{m}$  to 30  $\mu\text{m}$ , for example 20  $\mu\text{m}$ .

15 Advantageously, the order of magnitude of the difference in doping between the first and second doping is  $10^7 \text{ cm}^{-3}$ .

For example, the order of magnitude of the first doping is  $10^{20}$  to  $10^{21} \text{ cm}^{-3}$  and the second doping is about  $10^{14} \text{ cm}^{-3}$ .

The different doped zones make selective etching possible: the first etching step in the layer 153 is used to make the pads 154. Zone 151 is then etched to expose a membrane in the layer 152 (Figure 10B).

Once again, part of the component was formed on which a layer of activation means can be formed, or that can be assembled with a substrate-activation means assembly, like that shown in Figure 6. The pads 154 and the membrane formed in the layer 152 are actually made from the same material, although the

two zones are doped differently and there is no connecting element between the pads and the membrane. Once again, this avoids problems that arise with techniques according to prior art, namely problems of  
5 pad impression and bad flatness or roughness.

Figures 11A to 11E show other steps for this embodiment.

Firstly (Figure 11A), a silicon substrate 151 is made or selected. It is  $p^{++}$  doped, for example to  
10  $10^{21} \text{ cm}^{-3}$ .

The next step is to perform a first epitaxy of a layer 152 with thickness E equal to about 20  $\mu\text{m}$ , for example between 10  $\mu\text{m}$  and 30  $\mu\text{m}$  (Figure 11B). This silicon layer 152 is  $p^-$  doped. For example, the doping  
15 agent may be boron.

A second epitaxy step (Figure 11C) is used to grow a  $p^{++}$  silicon layer 153, for example to  $10^{21} \text{ cm}^{-3}$ .

The result is then a structure similar to that described above with reference to Figure 10A.

20 Pads 154 are then etched in the layer 153, for example by a wet chemical process, particularly by a mix of HNA (mix of sulphuric acid  $\text{H}_2\text{SO}_4$ , hydrofluoric acid  $\text{HF}$ , and  $\text{HNO}_3$ ).

Finally (Figures 11D and 11E), a protection  
25 layer 162 is deposited on the layer 153 and the pads 154, a mask 160 is positioned on the back face and the component is etched on the back face by HNA wet etching so as to expose a membrane in the layer 152.

The component obtained is similar to that  
30 in Figure 10B, and can then be assembled for example with a substrate like that shown in Figure 6. According

to one variant, a layer of mobile electrodes 156 is made on the layer 153 and the pads 154, the assembly then being assembled with a substrate like that shown in Figure 4E.

5                   Figure 12 shows a magnification of two pads 154 and a portion of the membrane 152. These pads are the same as those in the previous embodiment, but the following considerations can be applied to other embodiments.

10                   According to the invention, the thickness L of the pads + membrane assembly may for example be between 10 $\mu$ m and 30 $\mu$ m, for example about 20  $\mu$ m regardless of the envisaged embodiment. Due to the use of an etching technique, the ratio between the height B  
15 of the pads and their width A, as shown in Figure 12, is less than 20. If B is between 5  $\mu$ m and about 15  $\mu$ m, A could be fairly small, for example of the order of 1  $\mu$ m or between 0.5 and 1.5  $\mu$ m. The result is that the flexibility of the membrane with a thickness of between  
20 1  $\mu$ m or 5  $\mu$ m and 10 $\mu$ m is hardly affected by the presence of pads on one of its sides. For example, the pads may be at a spacing of about 500  $\mu$ m.

                  Therefore the invention can be used to make small patterns. Therefore the optical impression of  
25 the device is minimal which gives it an excellent quality, unlike patterns that can be obtained by etching in thick substrates, for example with a thickness of about 200  $\mu$ m. According to the invention L is much smaller than 200  $\mu$ m because it is not equal to  
30 the total thickness of the substrate but only a fraction of this substrate.

The invention is applicable to the field of adaptive optics, or to the manufacture of micro-mirrors.

5 High power is not necessary for the optical part of a scanner or beam deviation micro-mirror, but it can be important to produce a significant angle.

The optical mechanical part can also be chosen to be very rigid so as not to be deformed and the mobile part may be chosen to be flexible to satisfy  
10 the requirements of the actuator.